



Risk Assessment Tool

Grantee Name:	
Grant Program Name:	HB 22-1302 Integrated Care
Grant #:	
Grant Amount:	
Grant Period:	
Monitor Period:	
Date of Review:	
Date of Last Review:	

#	Question	Assessment Yes/No/NA (select from drop down)	Score (1=yes)	Comments
1	Has the applicant managed federally funded grant programming previously?	Yes	1	
2	Did the applicant have an independent financial statement audit or single source audit in the last 24 months? If any findings, list the number and details including resolution	Yes	1	
3	Does the applicant have documented procurement policies in alignment with the procurement regulations of 2 CFR Part 200?	N/A	0	
4	Does the applicant have the capacity to manage and implement federal regulations including 2 CFR 200 and other specific federal regulations pertaining to items such as (segregation of duties, cash handling, contracting procedures, and personnel and travel policies). (2 CFR 200.303 - Internal Controls)	Yes	1	
5	Have leadership and key staff remained stable in the past year?	Yes	1	
6	Has the applicant's financial or grant management system (technology or other) remained unchanged in the last 12 months? If changes were made, please explain in the comments section.	Yes	1	
7	Does the applicant's accounting system segregate expenditures by funding source? (Per 2 CFR 200.302, system must be sufficient to permit the preparation of reports and tracing of funds.)	Yes	1	
8	Has SAM.gov been checked to determine that the applicant is not debarred, suspended, or does not show delinquent federal debt in Sam.gov? (2 CFR 200.214)	Yes	1	
9	Has the applicant been consistent/timely with prior program reporting requirements?	Yes	1	

10	Have the applicant's financial reports for any previous programming been adequate, accurate and on time?	Yes	1	
11	Does the applicant have a Conflict-of-Interest Policy in place and is it documented?	N/A	0	
12	Does (or did) the applicant require, collect and document any expenditure/financial reports from subrecipients (from current or past), and if so how frequently?	N/A	0	
13	Does the applicant have a monitoring process in place for subrecipients?	N/A	0	
14	Does the applicant maintain central file locations for all grants, loans, or other types of financial assistance?	Yes	1	

Total Risk Score

10

LEGEND

High Risk	<i>Less than 4</i>
Medium Risk	<i>Between 4-11</i>
Low Risk	<i>Greater than 11</i>