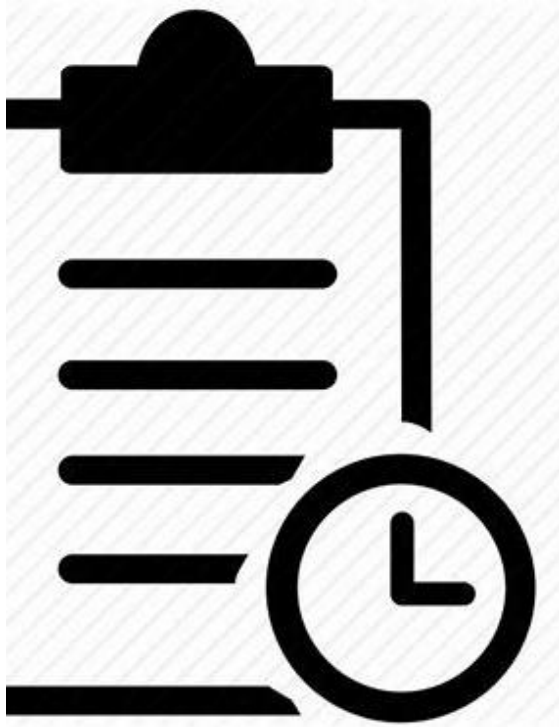


A stylized sun graphic on the left side of the slide. It features a large yellow circle representing the sun, with several yellow curved lines above it representing rays. The sun is partially obscured by a large white semi-circle that arches across the top of the slide. The background is a solid orange color.

IDD Waiver Redesign Case Studies Follow-Up

June 17, 2020



Agenda

Welcome and Introductions

**Case Study Project:
Background Purpose and
Process**

**Needs Based Criteria
Overview**

Case Studies Follow-up

Questions/Discussion

Adjourn



Precautions Taken for this Meeting



Google Meet- Unique code to ensure security & privacy



HIPAA Agreement with Google



Recording function- deactivated



Confidentiality Agreement



Please make sure you are in a private location

In Fiscal Year 2018-2019, Bolton Health Actuarial, Inc. (Bolton) completed a cost impact analysis associated with combining the current Adult IDD waivers into a single waiver **(BOLTON COST MODEL)**

This model utilizes responses from the Supports Intensity Scale (SIS) assessment to assign each member a Support Level and Daily Supports Needs indicator **(SIS/SL)**

To define Daily Supports Needs utilizing currently available data, the Department selected a methodology modeled after the residential algorithm used in the Developmental Disabilities Assessment in Washington **(WA ALGORITHM)**

To be eligible for ResHab, an individual must meet the minimum criteria for a subset of responses in the SIS assessment. **(NBC)**

Background of Project

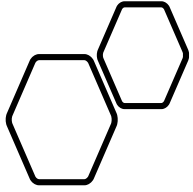
The Washington Residential Algorithm classifies members into seven Support Levels

A primary component of this algorithm is the Daily Support Needs score which assigns a member a minimum of Support Level 3A (*daily support*) when achieved.

The member is assumed to have Daily Supports Needs if at least one of the following 8 SIS activities meets the stated minimum threshold.

NEEDS BASED CRITERIA

Washington Daily Supports Needs			
SIS Activity	Minimum Type Score	Minimum Frequency Score	Minimum Daily Support Time
A2: Bathing and taking care of personal hygiene and grooming needs	2 Verbal /Gesture Prompt	3 At least once a day, but not hourly	1 Less than 30 minutes
A3: Using the toilet	2 Verbal /Gesture Prompt	3 At least once a day, but not hourly	1 Less than 30 minutes
A4: Dressing	2 Verbal /Gesture Prompt	3 At least once a day, but not hourly	1 Less than 30 minutes
A6: Eating food	2 Verbal /Gesture Prompt	3 At least once a day, but not hourly	1 Less than 30 minutes
A9: Using currently prescribed equipment or treatment	2 Verbal /Gesture Prompt	3 At least once a day, but not hourly	1 Less than 30 minutes
E1: Taking medication	2 Verbal /Gesture Prompt	3 At least once a day, but not hourly	1 Less than 30 minutes
E2: Ambulating and moving about	3 Partial Physical Assistance	3 At least once a day, but not hourly	1 Less than 30 minutes
E3: Avoiding health and safety hazards	1 Monitoring	3 At least once a day, but not hourly	1 Less than 30 minutes
OR			
Any combination of 3 of the SIS activities listed above	1 Monitoring	3 At least once a day, but not hourly	1 Less than 30 minutes



Case Studies Project Purpose



Bolton Cost Model
and Final Report



Phase 1 of the Case
Studies



Phase 2 of the Case
Studies



Panel Members
Provide Feedback



Refine and
Strengthen the NBC

Phase 2- Case Studies Process

Contractor Identified 45 individuals from Phase 1 study to develop sample

- Included additional cases outside of 45 due to limited response rate

Solicited volunteer stakeholder Case Reviewers

- Family members/guardians, Case Management Agencies, community-level advocates & providers
- Held group launch session in December 2019

Contractor Developed case studies session guides with Phase 1 data, BUS information and SIS scores

Case Reviewers confidentially examine member information from the BUS and provide a critique of whether the NBC results accurately reflect the needs of the member per findings in the case record review.

Role of Reviewers

6 Key areas of review:

Support Level

Service Plan details

Living situation & support networks

Waiting List status

ULTC 100.2 Assessment

NBC/SIS scores

5 Key Questions Asked of Reviewers



In looking at the LTC Assessment Scores and Needs Based Criteria findings, do you think this person's characteristics indicate they need Residential Habilitation/24-hour services?



Does there appear to be a conflict between the LTC Scores and the SIS Scores as indicated in the NBC?



In reviewing the HCBS services, do you see a gap between the need and approved services?



Are there any services needed that are not indicated in the service plan?



Would it be possible for this person's needs to be met in other ways than requiring direct human assistance/dependence on staff (i.e. assistive technology/PERS/reminder charts)?

15 Member Sample Demographics

- Sample age ranged from 17 to 55 years old with the majority of the sample aged 21-35 years old.
- Almost half of the sample live with parents (46.7%), others living alone (46.7%) or in another living situation (6.6%).
- The majority of the sample was male (53.3%) vs. female (46.7%).
- 12 of the 15 are enrolled in the HCBS-SLS waiver, 3 are enrolled in the HCBS-DD waiver

Case Study Demographics

Member	Waiver	Support Level	Living Situation	Age	Gender	Res Hab Eligibility
1	SLS	SL 1	Lives Alone with no paid family caregivers, but non-paid family supports	25	male	Not Eligible
2	SLS	SL 1	Lives with Parents	29	Female	Not Eligible
3	SLS	SL 2	Lives Alone	23	Male	Eligible
4	SLS	SL 2	Lives with Parents	25	Male	Eligible
5	SLS	SL 2	Lives in separate apartment in Parents Home	38	Male	Eligible
6	SLS	SL 3	Lives Alone, and gets unpaid support from her Mother	36	Female	Eligible
7	SLS	SL 2	Lives with Mother/Guardian	36	Male	Eligible
8	SLS	SL 5	Lives with Parents	31	Female	Eligible
9	SLS	SL 1	Lives Alone with no paid family caregivers	34	Female	Not Eligible
10	SLS	SL 2	Lives with Parents	29	Male	Eligible
11	SLS	SL 2	Lives with Parents who provide ongoing unpaid supports	20	Female	Eligible
12	DD	SL 3	Lives in IRSS (Residential) Services	20	Female	Eligible and enrolled in the Residential Habilitation Service currently
13	DD	SL 2	Lives in IRSS (Residential) Services in a Host Home	54	Male	Eligible and enrolled in the Residential Habilitation Service currently
14	SLS	SL 2	Lives with Parents	24	Male	Eligible
15	SLS	SL 2	Lives with Parents who provide paid family caregiver supports	20	Female	Eligible

Reviewer Feedback: Additional Information Needed

- Critical Incident Reports
- Validating some scores with caregiver or family member through key informant interviews would paint a clearer picture of each case
- Eviction or law enforcement activity information
- SIS Assessment timing
- Local community information as related to access to services (ie: housing, Arc chapters, etc.)
- Other needs including medical information not included in the 100.2 or NBC

Reviewer Feedback Continued

- Many individuals were younger, living with parents and therefore not yet “tested” by circumstantial/environmental/“life” factors
- Parental support was not always clearly outlined in 100.2 assessment, and so these “silent supports” were hard to account for accurately
- 100.2 Assessment narratives by the case managers at times were conflicting in terms of actual client needs (or numerical scores) or lacked key details.

Quantitative/Qualitative Results: Case Review Findings

- In all 12 cases that met the NBC, Reviewers agreed the members have the characteristics requiring Res Hab
- In the 3 cases that did not meet the NBC, the majority felt this was accurate, while a minority indicated that there was not enough information to confidently agree that these 3 members did not need Res Hab
- Reviewers identified that several members have had what seems to be limited exposure, experiences and opportunities in “the real world”, and a transition service might be beneficial to prepare these members for a move to more independent living.
- Reviewers noted that several members/families were not taking full advantage of HCBS and non-HCBS Resources available and wondered if this could defer the need for Res

Key Takeaways

Small sample study provides initial window into the usability of the NBC and applicability to real-life members and situations



Additional helpful steps would include:

Interviews with families/case managers

A broader review of other data sources (medical services, housing access, criminal records, etc.)


Corroborating information should be obtained through the BUS/BRIDGE system for these 15 Members

Data review of anomalies noted in the Bolton Cost Model (e.g. Members in SL 5 not eligible for Res Hab)



Follow-up Completed

- The Department heard the panel requests for specific follow up:
 - Critical Incident Reports
 - BUS and BRIDGE corroborating data
 - Telephone or email key informant interviews to solicit more information
-

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Follow Up Data and Information Chart

Follow up Results and Trends



Behavioral Supports
Needs not adequately
captured



“Silent” Supports



Aging Caregivers



Maximize existing HCBS
& community resources



24/7 emergency backup



Transition Services-
Dignity of Risk and Real
life testing

Questions and Follow-up?



- Any additional trends?
- Specific elements to the NBC that need to be added/considered?
- Programmatic or Structural changes to address trends?
- Technology use considerations?
- What would you like to discuss with the larger group?
- What still concerns you about the NBC?
- Other questions?



Next Steps

Discuss with the Larger Waiver Redesign Group on July 7th to garner additional feedback




HCBS Strategies Analysis for alignment with LTSS Assessment using A/SP Pilot Sample



Alignment with new LTSS Assessment (NBC=Targeting Criteria)



THANK
YOU!



Next Meeting will be
the Co-chair Planning
meeting on June 24th
and the larger IDD
Adult Waiver
Redesign Meeting on
July 7th.
