

Schedule 13

Department of Health Care Policy and Financing

Funding Request for The FY 2020-21 Budget Cycle

Request Title

R-16 Case Management & State-only Programs Modernization

Dept. Approval By: _____



Supplemental FY 2019-20

OSPB Approval By: _____



Budget Amendment FY 2020-21

X

Change Request FY 2020-21

Summary Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$8,646,643,001	\$0	\$8,669,613,696	\$402,372	\$397,497
	FTE	500.0	0.0	504.1	3.8	4.0
Total of All Line Items Impacted by Change Request	GF	\$2,653,308,930	\$0	\$2,667,461,180	(\$69,366)	(\$100,135)
	CF	\$996,642,695	\$0	\$995,214,528	\$0	\$0
	RF	\$91,547,044	\$0	\$91,434,327	\$0	\$0
	FF	\$4,905,144,332	\$0	\$4,915,503,661	\$471,738	\$497,632

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$38,610,714	\$0	\$40,590,766	\$318,991	\$331,765
	FTE	500.0	0.0	504.1	3.8	4.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Personal Services	GF	\$13,478,948	\$0	\$14,470,561	\$236,139	\$245,596
	CF	\$3,571,232	\$0	\$3,714,633	\$0	\$0
	RF	\$2,436,543	\$0	\$2,305,357	\$0	\$0
	FF	\$19,123,991	\$0	\$20,100,215	\$82,852	\$86,169
		Total	\$4,790,328	\$0	\$6,054,935	\$31,708
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1) General Administration - Health, Life, and Dental	GF	\$1,700,447	\$0	\$2,211,097	\$23,781	\$23,781
	CF	\$421,237	\$0	\$525,947	\$0	\$0
	RF	\$126,088	\$0	\$138,532	\$0	\$0
	FF	\$2,542,556	\$0	\$3,179,359	\$7,927	\$7,927

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$66,598	\$0	\$72,132	\$543	\$562
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$24,002	\$0	\$26,864	\$402	\$416
General Administration - Short-term Disability	CF	\$5,301	\$0	\$5,495	\$0	\$0
	RF	\$2,206	\$0	\$1,639	\$0	\$0
	FF	\$35,089	\$0	\$38,134	\$141	\$146
	Total	\$1,984,802	\$0	\$2,182,512	\$14,259	\$14,831
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$722,807	\$0	\$812,689	\$10,556	\$10,979
General Administration - Amortization	CF	\$159,398	\$0	\$166,329	\$0	\$0
Equalization	RF	\$46,310	\$0	\$49,606	\$0	\$0
Disbursement	FF	\$1,056,287	\$0	\$1,153,888	\$3,703	\$3,852
	Total	\$1,984,802	\$0	\$2,182,512	\$14,259	\$14,831
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$722,807	\$0	\$812,689	\$10,556	\$10,979
General Administration - Supplemental Amortization	CF	\$159,398	\$0	\$166,329	\$0	\$0
Equalization	RF	\$46,310	\$0	\$49,606	\$0	\$0
Disbursement	FF	\$1,056,287	\$0	\$1,153,888	\$3,703	\$3,852
	Total	\$2,506,384	\$0	\$2,273,794	\$22,612	\$3,800
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (A) General Administration, (1)	GF	\$1,014,866	\$0	\$939,016	\$16,959	\$2,850
General Administration - Operating Expenses	CF	\$243,961	\$0	\$197,797	\$0	\$0
	RF	\$13,297	\$0	\$13,297	\$0	\$0
	FF	\$1,234,260	\$0	\$1,123,684	\$5,653	\$950
	Total	\$22,864,305	\$0	\$22,630,967	(\$1,837,500)	(\$1,837,500)
	FTE	0.0	0.0	0.0	0.0	0.0
01. Executive Director's Office, (E) Utilization and Quality Review	GF	\$5,808,855	\$0	\$7,030,521	(\$918,750)	(\$918,750)
Contracts, (1) Utilization and Quality Review	CF	\$1,587,101	\$0	\$1,587,101	\$0	\$0
Contracts - Professional Service Contracts	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$15,468,349	\$0	\$14,013,345	(\$918,750)	(\$918,750)

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$7,895,417,528	\$0	\$7,915,363,590	(\$44,112,352)	(\$45,890,080)
02. Medical Services	FTE	0.0	0.0	0.0	0.0	0.0
Premiums, (A) Medical Services Premiums, (1)	GF	\$2,285,686,174	\$0	\$2,294,366,911	(\$22,056,176)	(\$22,945,040)
Medical Services Premiums - Medical Services Premiums	CF	\$983,543,298	\$0	\$984,608,781	\$0	\$0
	RF	\$88,876,290	\$0	\$88,876,290	\$0	\$0
	FF	\$4,537,311,766	\$0	\$4,547,511,608	(\$22,056,176)	(\$22,945,040)
	Total	\$503,255,278	\$0	\$502,793,147	(\$502,793,147)	(\$503,389,410)
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (2) Program	FTE	0.0	0.0	0.0	0.0	0.0
Costs - Adult Comprehensive Services	GF	\$248,117,256	\$0	\$250,596,573	(\$250,596,573)	(\$250,894,705)
	CF	\$3,510,383	\$0	\$800,001	(\$800,001)	(\$800,001)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$251,627,639	\$0	\$251,396,573	(\$251,396,573)	(\$251,694,704)
	Total	\$86,732,157	\$0	\$86,971,925	(\$86,971,925)	(\$86,971,925)
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (2) Program	FTE	0.0	0.0	0.0	0.0	0.0
Costs - Adult Supported Living Services	GF	\$45,959,837	\$0	\$46,082,518	(\$46,082,518)	(\$46,082,518)
	CF	\$2,676,085	\$0	\$2,676,689	(\$2,676,689)	(\$2,676,689)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$38,096,235	\$0	\$38,212,718	(\$38,212,718)	(\$38,212,718)
	Total	\$27,062,419	\$0	\$27,080,575	(\$27,080,575)	(\$27,080,575)
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (2) Program	FTE	0.0	0.0	0.0	0.0	0.0
Costs - Children's Extensive Support Services	GF	\$13,531,210	\$0	\$13,540,287	(\$13,540,287)	(\$13,540,287)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$13,531,209	\$0	\$13,540,288	(\$13,540,288)	(\$13,540,288)
	Total	\$45,206,293	\$0	\$45,243,320	(\$45,243,320)	(\$45,243,320)
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (2) Program	FTE	0.0	0.0	0.0	0.0	0.0
Costs - Case Management	GF	\$23,571,393	\$0	\$23,590,677	(\$23,590,677)	(\$23,590,677)
	CF	\$150,346	\$0	\$150,471	(\$150,471)	(\$150,471)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$21,484,554	\$0	\$21,502,172	(\$21,502,172)	(\$21,502,172)

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$7,811,600	\$0	\$7,817,740	(\$7,817,740)	(\$7,817,740)
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (2) Program Costs - Family Support Services	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$7,196,645	\$0	\$7,202,785	(\$7,202,785)	(\$7,202,785)
	CF	\$614,955	\$0	\$614,955	(\$614,955)	(\$614,955)
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$3,197,573	\$0	\$3,200,203	(\$3,200,203)	(\$3,200,203)
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (2) Program Costs - Eligibility Determination and Waiting List Management	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$3,197,573	\$0	\$3,200,203	(\$3,200,203)	(\$3,200,203)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0
	Total	\$5,152,220	\$0	\$5,155,578	(\$5,155,578)	(\$5,155,578)
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (2) Program Costs - Children's Habilitation Residential Program	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$2,576,110	\$0	\$2,577,789	(\$2,577,789)	(\$2,577,789)
	CF	\$0	\$0	\$0	\$0	\$0
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$2,576,110	\$0	\$2,577,789	(\$2,577,789)	(\$2,577,789)
	Total	\$0	\$0	\$0	\$611,865,306	\$612,461,569
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (B) Medicaid Programs - Home and Community based Services for People with IDD	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$0	\$0	\$0	\$304,609,815	\$304,907,947
	CF	\$0	\$0	\$0	\$1,528,123	\$1,528,123
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$305,727,368	\$306,025,499
	Total	\$0	\$0	\$0	\$91,916,420	\$93,694,148
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (B) Medicaid Programs - Case Management	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$0	\$0	\$0	\$46,921,092	\$47,782,979
	CF	\$0	\$0	\$0	\$150,471	\$150,471
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$44,844,857	\$45,760,698

Line Item Information	Fund	FY 2019-20		FY 2020-21		FY 2021-22
		Initial Appropriation	Supplemental Request	Base Request	Change Request	Continuation
	Total	\$0	\$0	\$0	\$20,430,614	\$20,430,614
04. Office of Community Living, (A) Division of Intellectual and Developmental Disabilities, (C) State-Only Programs - State-Only Programs for People with IDD	FTE	0.0	0.0	0.0	0.0	0.0
	GF	\$0	\$0	\$0	\$17,867,092	\$17,867,092
	CF	\$0	\$0	\$0	\$2,563,522	\$2,563,522
	RF	\$0	\$0	\$0	\$0	\$0
	FF	\$0	\$0	\$0	\$0	\$0

Auxiliary Data			
Requires Legislation?	NO		
Type of Request?	Department of Health Care Policy and Financing Prioritized Request	Interagency Approval or Related Schedule 13s:	No Other Agency Impact



Department Priority: R-16
Request Detail: Case Management and State-Only Programs Modernization

Summary of Incremental Funding Change for FY 2020-21			
	FY 2019-20	FY 2020-21	FY 2021-22
Total Funds	\$0	\$402,372	\$397,497
FTE	0.0	3.8	4.0
General Fund	\$0	(\$69,366)	(\$100,135)
Cash Funds	\$0	\$0	\$0
Reappropriated Funds	\$0	\$0	\$0
Federal Funds	\$0	\$471,738	\$497,632

Summary of Request:

The Department requests an increase of \$402,372 total funds, including a reduction of \$69,366 General Fund, an increase of \$471,738 federal funds, and 3.8 FTE in FY 2020-21, and an increase of \$397,497 total funds, including a reduction of \$100,135 General Fund, an increase of \$497,632 federal funds, and 4.0 FTE in FY 2021-22. This request represents an increase of less than 0.5% from the Department's FY 2019-20 Long Bill total funds appropriation. The Department seeks to implement the following initiatives that would increase programmatic oversight and management, increase funding transparency, and address audit findings:

1. 2.0 FTE for the management and oversight of the State-Only programs for persons with intellectual and developmental disabilities (IDD).
2. 2.0 FTE as administrative resources to implement and oversee case management redesign.
3. Adjust funding for federal funds available for intellectual and developmental disability eligibility determinations.
4. Collapse funding for Community Centered Boards (CCBs) and Single-Entry Points (SEP) into one appropriation, collapse all the State-Only programs into a new line item, and collapse all the Home and Community Based Services (HCBS) appropriation lines into a new line item.

Current Program:

There are currently 49 Case Management Agencies (CMAs), which include 24 Single Entry Points (SEPs), 20 Community Centered Boards (CCBs), and 5 private agencies that provide case management and administrative functions such as intake, functional eligibility determinations, and appeals for the over 70,000 members who need long term services and supports. These services are designed to keep members with disabilities living and engaging in their communities and out of costly, more restrictive nursing facilities or other institutions. Currently, SEPs provide these services for the majority of members needing long term services and supports, while the CCBs provide these services to members who have intellectual and developmental disabilities (IDD). Private CMAs provide services only for the Children's Home and Community Based Service waiver. CCBs provide additional services including intellectual and developmental disability functional eligibility determinations, administration and oversight of select State-Only programs, as well as being tasked with providing safeguards to prevent conflicts of interest between administration of case management and direct services. Currently, the Department pays for CCBs' and SEPs' administrative and case management functions from multiple appropriations. Paying from multiple appropriations requires the Department to create internal background documentation to keep track of funds, which increases the administrative burden and is not fully transparent.

State-Only Programs

The State-Only funded programs, including the State Supported Living Services (State SLS) program, Family Support Services Program (FSSP), and Omnibus Budget Reconciliation Act-Specialized Services (OBRA-SS) program, provide non-residential services to adults and children with intellectual and developmental disabilities (IDD) in need of support and who often do not necessarily qualify for Medicaid. Since some individuals receiving these services do not qualify or have difficulty maintaining eligibility for Medicaid waiver programs, the Department serves this population using only General Fund appropriations. The State SLS program provides support and services including behavioral health, day habilitation, homemaker, transportation, and supported employment services. FSSP provides services to families with expenses beyond those normally experienced by other families because they have a child with an intellectual or developmental disability or developmental delay. The OBRA-SS program provides services to individuals with mental illness or IDD, who reside in a nursing facility and need additional services specific to their mental illness or IDD, not provided by the nursing facility. The Department contracts with CCBs to provide case management and services within contractual maximums for these programs. These state-only programs are funded through four separate appropriation lines.

Determination of Intellectual and Developmental Disability

The Department pays for determinations of intellectual and developmental disability performed by CCBs using only General Fund dollars and does not receive a match. These determinations are required for an individual who is seeking Medicaid services and are used to determine whether a member is eligible for the HCBS waiver programs targeted to individuals with intellectual and developmental disabilities.

Case Management Redesign

Federal case management regulations at 42 CFR 431.301(1)(vi) requires case management and direct services under an HCBS waiver program be provided by separate agencies. In 2017, the General Assembly passed HB 17-1343 to align with federal regulations which required individuals enrolled in an HCBS waiver program specific for people with intellectual and developmental disability receive conflict-free case management (CFCM). HB 17-1343 required the Department to develop new qualifications for Case Management Agency (CMA) and case managers along with developing a third-party to assist individuals in the choice of CMA. Additionally, the Department received 1.0 FTE to oversee the implementation of, and ensure compliance with, CFCM regulations.

To implement the federal and state rules requiring separation of case management from service provision, the Department has held over 30 stakeholder engagement meetings with members, their families, advocates, and case management agencies to include Community-Centered Boards (CCBs), and Single Entry Points (SEPs). Through those engagements, it was clear that redesigning case management would require additional efforts beyond separating case management from service provision. There were other elements of the case management system that stakeholders wanted improved, such as simplified access to services, offering member choice, and creating the structure to ensure quality oversight. To achieve this, the Department has embarked on a process to not only implement CFCM but also to address other stakeholder concerns by redesigning how long term care case management is provided.

As part of this process, and in compliance with HB 17-1343, the Department is working with CMAs regarding their transition plans to a conflict free organization and received approval from the Medical Services Board (MSB) as well as Centers for Medicare and Medicaid Services (CMS) for new case management agency and case manager qualifications.

While redesigning the case management system, during FY 2018-19, the Colorado Office of the State Auditor (OSA) performed an audit¹ on the performance of the CCBs and issued findings to the Department related to the oversight and administration of Targeted Case Management (TCM) and the State SLS program that require programmatic and payment changes in order to come into compliance with the recommendations.

Problem or Opportunity:

The OSA audit identified a number of areas for the Department to increase oversight and accuracy of payments for services for people with disabilities in order to ensure at-risk populations are served appropriately and efficiently with all available funding. Additional resources are needed in order to implement audit findings and case management redesign in a timely and effective way.

¹ <https://leg.colorado.gov/audits/community-centered-boards>

Financial, Programmatic, and Quality Oversight of State-Only Programs

The OSA audit recommends the Department implement the following changes to the state-only programs: create a new data-driven funding allocation; put policies and systems in place to reduce program waiting lists; and establish a process to reallocate reverted funds. The OSA found the Department's funding allocation did not meet the needs of the communities it was serving by either providing too much or too little funding. Outdated funding allocations caused CCBs to revert funds despite individuals still being on waiting lists. Overall, the audit found there was a lack of oversight and regulation on the CCBs' management of the State SLS program. In an effort to address the findings, the Department has drafted programmatic rules which aim to provide oversight and management of all state-only programs. However, the Department does not believe that a rule change alone would fully address the recommendations issued by the audit.

Case Management Redesign

CFCM legislation created the opportunity for new CMAs to serve members in areas where CCBs are currently the only providers. In response to the new rules for case management, in which agencies may provide either services or case management to members, the Department is redesigning how it pays for case management. This includes drafting waiver amendments and engaging with stakeholders for necessary rule changes. As these changes occur, the Department will need to continue to coordinate with CMS, community advocates, CCBs, and new CMAs. This creates a large administrative workload which cannot be absorbed.

Additionally, during its performance audit of the CCBs, the OSA noted the Department's current case management payment methodology may incent quantity of service over quality of service. To address these findings, the Department is actively working through the process of creating a different payment methodology to align the payments between CCBs, SEPs, and CMAs. These payment changes, as well as programmatic changes as a part of case management redesign, are necessary to implement CFCM and the OSA audit recommendations; however, the Department does not currently have the resources to implement and have ongoing oversight of these important changes.

Federal Match for Intellectual and Developmental Disability Determinations

Currently, the Department does not receive a federal match for any intellectual and developmental disability determinations conducted by CCBs. These determinations are required for all members seeking to enroll in Home and Community-Based Services (HCBS) waiver programs and state-only programs operated by CCBs. The Department believes these determinations are eligible to receive a federal match, which would reduce the need for General Fund.

Appropriation Consolidation

As part of its efforts to redesign case management and to comply with federal regulation, the Department has started to take steps to implement new provider types. However, this introduces administrative and financial complexities for the payment of potential new CMAs joining the market. The Department pays for SEP and CCB contracts and case management from four different appropriations, of which the funding is only a portion of the total of those lines. The current appropriation structure would require the Department to administer contracts that touch multiple appropriations and would likely cause many accounting transfers

and adjustments. Adding to this complexity, the payment methodologies are not aligned as the CCBs are paid based on a 15-minute unit rate, while SEPs have fixed contract payments. To effectively implement CFCM legislation and Case Management Redesign, the Department needs increased flexibility within current CCBs and SEP appropriations to allow CMAs to serve any population.

Similar to the SEP and CCB contract and case management lines, the Department has state-only programs funded within four different appropriations. The funds within these appropriations are both Medicaid and non-Medicaid funds, and the distinction between the two is not clearly outlined in the Long Bill. Under the current appropriation, CCBs have fixed payment maximums for each program resulting in some CCBs having excess funds that must revert while others have waiting lists. Having four appropriations necessitates the Department to manually transfer funds, which requires documentation and drives administrative workloads. This results in a lack of funding transparency between Medicaid fund and non-Medicaid funds and increased administrative burden as the Department must create internal background documents for contract maximums and tracking dollars.

Additionally, the OSA performance audit found the Department was moving funds between programs and CCBs in an inefficient manner, which caused funds to revert and individuals to needlessly remain on waiting lists. The CCBs performance audit also found the Department's funding structure for CCBs lacked oversight and quality control. The Department is exploring different allocation and payment methods to address the audits findings. However, the Department is finding it difficult to implement and enforce oversight and quality controls under the current appropriation structure and within available resources.

Proposed Solution:

The Department requests an increase of \$402,372 total funds, including a reduction of \$69,366 General Fund, including \$471,738 federal funds, and 3.8 FTE in FY 2020-21 and an increase \$397,497 total funds, including a reduction of \$100,135 General Fund, an increase of \$497,632 federal funds and 4.0 FTE in FY 2021-22. The Department seeks to improve oversight and efficiency of administration with the following initiatives:

1. 2.0 FTE for the management and oversight of the state-only programs for persons with intellectual and developmental disabilities (IDD)
2. 2.0 FTE as administrative resources to implement and oversee case management redesign.
3. Adjust funding for federal funds available for intellectual and developmental disability and delay determinations.
4. Collapse the funding for Community Centered Boards (CCB) and Single-Entry Points (SEP) into one appropriation, collapse all the state-only programs into a new line item, and collapse all the HCBS appropriation lines into a new line item

The FTE would provide oversight and management of the state-only programs, as well as FTE to align with OSA recommendations and implement Case Management Redesign.

Financial, Programmatic and Quality Oversight of State-Only Programs

The Department requests two FTE for management and oversight of the three state-only programs. These FTE would address the OSA audit findings related to State SLS financial, programmatic, and quality oversight. One of the FTE would work on implementing management and administrative recommendations from the audit. This position would allow the Department to directly address recommendations 1A, 1B, and 1C related to developing a new allocation methodology, collecting necessary data, developing policies and procedures, minimizing the funds that are reverted each fiscal year, and conducting financial compliance reviews of the CCBs. The Department needs these additional staff to hold providers accountable through audits and ensure compliance with program rules. These resources would lead to improved usage of funds and the maximization of fiscal resources.

The other position would allow the Department to provide program-specific guidance and technical assistance to the CCBs, which would address OSA recommendations 2 and 3 related to performing case management functions appropriately for the State SLS program. Further, the work of this position would establish the standards and requirements that would allow the Department to have better oversight as required in OSA recommendation 4. The increase in oversight would align with the Department's goal of improving 'member health' by ensuring quality case management and services are provided to our members through the state-only programs.

If this request is not approved, the Department would not be able to maximize the amount of people served by the state-only programs. Additionally, the Department would also be at risk for future audit findings related to State SLS, OBRA-SS, and FSSP as requirements are established and training and technical assistance would not be available without these FTE.

Case Management Redesign

The Department requests two FTE to implement case management redesign. To comply with federal regulation of CFCM, the Department requires additional resources beyond what was appropriated in HB 17-1343. These resources would further develop, oversee the implementation of, and ensure compliance with, the Department's case management redesign. This includes stakeholder engagement, drafting rules and regulations, reviewing case management agencies applications, the development and writing of waiver amendments for submission to the CMS, as well as acting as point of contact for agencies on new requirements. These FTE would also address OSA recommendations 5A and 10 related to standardizing case management administration, and craft guidance on case management billing, administration and requirements to ensure members are receiving quality care. Without these FTE, the Department would not be able to provide technical guidance on case management redesign and may be subject to ongoing audit findings. These FTE resources align with the Department's goals of improving the delivery of programs for its members and allows members to more easily access the services they need.

If this request is not approved, the Department would be at risk for not complying with the legislative deadline for CFCM which would impact members who would not have complete access to CFCM.

Federal Match for Determinations of Intellectual and Developmental Disability

The Department requests a reduction of \$367,759 General Fund as well as an increase in federal funds \$367,759 in FY 2020-21 and requests a reduction of \$394,736 General Fund as well as an increase in federal funds \$394,736 in FY 2021-22, to obtain a federal match on eligible determinations. The request aligns with the Department’s strategy of “Operational Excellence” and allow for implementation of efficient business practices. If this request is not approved the Department would continue to use only General Fund for this purpose.

Appropriation Consolidation

The Department requests to collapse the appropriations for CCBs and SEPs, the state-only programs and HCBS waivers programs into separate appropriations that are bottom-line funded. This action would not change legislative reporting or the total amount of funding appropriated but would increase the Department’s flexibility to reallocate funds and simplify funding sources within contracts. Downstream effects would include moving the Department forward on Case Management Redesign by allowing the Department to enroll new CMAs more efficiently and allowing the Department to implement recommendations from the CCB OSA performance audit. Maximizing the number of people served by the state-only programs and allowing for increased choice for case management would positively impact members. Under the current appropriation format, the Department must assign funding to contracts from several different funding sources with different payment methodologies. The Department believes payment methodology challenges for new agencies could be addressed by combining the appropriations for CCBs and SEPs by aligning the funding source which would allow for flexibility in payment. For additional information regarding the line item reorganization please reference table 8.1 in appendix B.

The Department requests consolidating specific line appropriations in order to minimize burdensome administrative work caused by manually shifting or tracking funds. This request allows the Department to separate Medicaid funds from non-Medicaid funds in the Long Bill and ensure financial compliance. This aligns with the Department’s goal of “Operational Excellence” by ensuring business operations are transparent and accurate.

If this request is not approved, the Department would have difficulty moving forward with case management redesign, legislative deadlines and OSA audit recommendations, and would have to continue to create complex internal background documents to keep track of the spending by the new CMAs. This alternative would be inefficient, time consuming, and prone to error.

Anticipated Outcomes:

Financial, Programmatic, and Quality Oversight of State-Only Programs

Approving the request for additional FTE resources for state-only programs would ensure the Department has sufficient resources to implement the OSA CCBs audit recommendations and would address the oversight and management issues the OSA audit identified. By providing these resources, the Department would be able to make meaningful changes to their fiscal management, contract oversight, programmatic quality, and

ensure individuals within the program are receiving services to meet their needs. Additionally, these changes would allow the Department to improve service delivery for all state-only programs managed by CCBs and avoid future audits.

Case Management Redesign

Approving the request for additional FTE for Case Management Redesign would ensure that the Department has sufficient resources for the transition and implementation of new CMAs. The additional resources would enable the Department to further develop, implement proposed Case Management Redesign initiatives, provide robust stakeholder engagement, meet legislative deadlines and implement OSA recommendations around payment reform.

Federal Match for Determinations of Intellectual and Developmental Disability

Receiving a federal match on intellectual and developmental disability determination activities would allow the Department to lessen the burden on the General Fund.

Appropriation Consolidation

Consolidation of funding for all administrative and case management activities performed by CCBs and SEPs would allow the Department greater flexibility to pay for comparable works as new CMAs enter the market and serve to simplify and streamline case management funding.

Additionally, the consolidation of state-only programs into one line item would allow the Department to address the OSA audit concerns by creating better management of current funds and easier transferability of funds between programs as necessary, most likely reducing reversions and allowing CCBs to serve the maximum number of people as possible.

Consolidation of funding for all HCBS waivers for persons with intellectual and developmental disabilities would create increased administrative efficiency within the Department.

Assumptions and Calculations:

Detailed calculations and a timeline can be found in Appendix B.

The Department assumes intellectual and developmental disability determination costs would be eligible for a 50 percent Federal Financial Participation (FFP) and would be requested in the federally required Public Assistance Cost Allocation Plan (PACAP). The Department assumes the state share of determination costs would be allocated from General Fund and that sufficient funds are available. The Department also assumes that it would submit the PACAP amendment in FY 2019-20, but the federal funds match would not be effective until July 1, 2020.

FTE Descriptions

Title	FTE	Duties
Financial Compliance Specialist	1.0	<p>The position would perform financial oversight of the 20 Community Centered Boards (CCBs) and their operation of the State Supported Living Services Program (State SLS), Family Support Services Program (FSSP), and Omnibus Reconciliation Act of 1987 Specialized Services Program (OBRA-SS) that total over \$20,000,000 annually. This position would conduct ongoing financial compliance reviews of the 20 CCBs and subcontracted providers, expenditure analysis, oversight and management of the allocation and payment methodologies, and management and redistribution of appropriated funds. Specifically, this position would be responsible for the development and implementation of all materials related to financial compliance reviews to include internal procedures, protocols, scope, templates and test files, risk assessment, schedule and assignment, tracking, sampling methodology, quality assurance, notification letters, findings and report templates, informal reconsiderations, appeals, and recoveries. This position would analyze expenditure data, track CCB spending, and redistribute funds as appropriate to minimize reversions and to serve as many members as possible within existing appropriations. Utilizing expenditure and case management data, this position would determine if changes to individual CCBs allocations, payment structure, and the methodology as necessary. This position would allow the Department of Health Care Policy & Financing (the Department) to come into full compliance with the November 2018 Office of the State Auditor (OSA) audit. While the OSA audit was directly related to the State SLS program, the Department is at risk for additional findings related to the OBRA-SS and FSSP programs and this position would work to minimize those risks. Additionally, this position would allow the Department to have ongoing, comprehensive financial oversight of the CCBs.</p>
Financial Compliance Specialist	1.0	<p>The position would manage the waiting list for State SLS and FSSP. These waiting lists are operated separately from those for Home and Community Based Services (HCBS) waiver programs. The position needs to be the expert in overseeing and authorizing enrollments for state-only programs, as well as oversight of CCBs for waiting list management. In addition, this position would be the subject matter expert (SME) for all case management activities for State SLS, FSSP, and OBRA-SS programs. This position would be the main contact for case management questions from CCBs. Likewise, this position would assist in the development and implementation of materials related to the programmatic and quality reviews to include</p>

Title	FTE	Duties
		<p>internal procedures, scope, test files and templates, scheduling and tracking, quality assurance, notification letters, finding and report templates, corrective action, and review closeout. This position would assist in conducting ongoing reviews of the CCBs' operation of the programs to include a review of service plan development, assessment, developmental disability determination, monitoring, and revision and reassessment to ensure compliance with contract requirements and regulations. Additionally, this position would conduct targeted and statewide technical assistance related to maintaining adequate case management documentation, corrective action, and compliance. This position would also make recommendations to leadership regarding any policy changes needed for the programs. Likewise, this position would minimize the risk for future audit findings related to OBRA-SS and FSSP as requirements are established and training and technical assistance is provided.</p>
<p>Case Management Redesign Project Manager</p>	<p>1.0</p>	<p>The position would provide project management and oversight for the transition to and implementation of Conflict-Free Case Management (CFCM). This work would include timeline development, monitoring of timeline/milestones regarding the work necessary to transition individuals from conflicted case management to CFCM. This position would be responsible for scheduling meetings, developing agendas, developing meeting summaries, and providing coordination between Office of Community Living (OCL), other Department of Health Care Policy & Financing (Department) staff, and outside entities as necessary. This position would manage the transition of approximately 8,100 individuals to CFCM. This would include coordinating with current case management agencies (CMAs), new CMAs, and direct service providers. In addition, HB 17-1343 requires 25% of individuals receive CFCM by June 30, 2021 and 100% by June 30, 2022. This position would be responsible for tracking the transitions and ensuring all agencies meet the regulatory and/or contractual timelines for their respective roles. This position would allow the Department to comply with federal regulation and state laws.</p>

Title	FTE	Duties
Case Management Specialist	1.0	<p>The position would be responsible for implementing case management redesign. This position would be responsible for researching and recommending changes to regulation necessary to implement CFCM and case management redesign. These regulatory changes are necessary to comply with HB 17-1343 requirements. This position must coordinate with other OCL staff for this process as well. This position would be responsible to recommend language necessary to amend the State Plan and waiver agreements for federal approval necessary to implement case management redesign. This position would be responsible for stakeholder engagement necessary for the work. This position would provide technical assistance for CMA qualifications and be coordinate with OCL staff to for approve/disapprove CMA applications. This position would coordinate with OCL staff and Department vendors for the transition to CFCM. This position would provide the necessary case management expertise to assist in the transition and coordinate with the project manager and other OCL staff to ensure all timelines and milestones are met. This position would coordinate with current staff to ensure all aspects of CFCM align with the overall case management redesign efforts. This position would conduct/provide technical assistance to agencies applying to be a CMA and assist with the application process as needed. This position would work with Department systems staff to ensure all systems meet the requirements necessary to implement case management redesign. This position would allow the Department to ensure compliance with federal regulation and state laws.</p>

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 1.1 Summary by Line Item FY 2020-21 Case Management and State-Only Programs Modernization								
Row	Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	Notes/Calculations
A	(1) Executive Director's Office (A) General Administration, Personal Services	\$318,991	3.8	\$236,139	\$0	\$0	\$82,852	FTE Calculator
B	(1) Executive Director's Office (A) General Administration, Health Life, and Dental	\$31,708	0.0	\$23,781	\$0	\$0	\$7,927	FTE Calculator
C	(1) Executive Director's Office (A) General Administration, Short Term Disability	\$543	0.0	\$402	\$0	\$0	\$141	FTE Calculator
D	(1) Executive Director's Office (A) General Administration, S.B. 04-257 Amortization Equalization Disbursement	\$14,259	0.0	\$10,556	\$0	\$0	\$3,703	FTE Calculator
E	(1) Executive Director's Office (A) General Administration, S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$14,259	0.0	\$10,556	\$0	\$0	\$3,703	FTE Calculator
F	(1) Executive Director's Office (A) General Administration, Operating Expenses	\$22,612	0.0	\$16,959	\$0	\$0	\$5,653	FTE Calculator
G	(1) Executive Director's Office (E) Utilization and Quality Review Contracts, Professional Services Contracts	(\$1,837,500)	0.0	(\$918,750)	\$0	\$0	(\$918,750)	Table 5.1 Row M
H	(2) Medical Services Premiums; Medical and Long-Term Care Services for Medicaid Eligible Individuals	(\$44,112,352)	0.0	(\$22,056,176)	\$0	\$0	(\$22,056,176)	Table 5.1 Row N
I	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management	(\$45,243,320)	0.0	(\$23,590,677)	(\$150,471)	\$0	(\$21,502,172)	Table 4.1 Row N + Table 5.1 Row O
J	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Eligibility Determination and Waitlist Management	(\$3,200,203)	0.0	(\$3,200,203)	\$0	\$0	\$0	Table 4.1 Row P + Table 5.1 Row P
K	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Case Management	\$91,916,420	0.0	\$46,921,092	\$150,471	\$0	\$44,844,857	Table 3.1 Row I + Table 5.1 Row Q
L	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	(\$86,971,925)	0.0	(\$46,082,518)	(\$2,676,689)	\$0	(\$38,212,718)	Table 4.1 Row M + Table 6.1 Row M
M	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Family Support Services	(\$7,817,740)	0.0	(\$7,202,785)	(\$614,955)	\$0	\$0	Table 4.1 Row O
N	NEW LINE (4) Office of Community Living (C) State Only Programs State Only Programs for People with Intellectual and Developmental Disabilities	\$20,430,614	0.0	\$17,867,092	\$2,563,522	\$0	\$0	Table 4.1 Row Q
O	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Comprehensive Services	(\$502,793,147)	0.0	(\$250,596,573)	(\$800,001)	\$0	(\$251,396,573)	Table 6.1 Row N
P	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Extensive Support Services	(\$27,080,575)	0.0	(\$13,540,287)	\$0	\$0	(\$13,540,288)	Table 6.1 Row O
Q	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Habilitation Residential Program	(\$5,155,578)	0.0	(\$2,577,789)	\$0	\$0	(\$2,577,789)	Table 6.1 Row P
R	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	\$611,865,306	0.0	\$304,609,815	\$1,528,123	\$0	\$305,727,368	Table 6.1 Row Q
S	Total Request	\$402,372	3.8	(\$69,366)	\$0	\$0	\$471,738	Sum of Row A through Row R

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 1.2 Summary by Line Item FY 2021-22 Case Management and State-Only Programs Modernization								
Row	Line Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	Notes/Calculations
A	(1) Executive Director's Office (A) General Administration, Personal Services	\$331,765	4.0	\$245,596	\$0	\$0	\$86,169	FTE Calculator
B	(1) Executive Director's Office (A) General Administration, Health Life, and Dental	\$31,708	0.0	\$23,781	\$0	\$0	\$7,927	FTE Calculator
C	(1) Executive Director's Office (A) General Administration, Short Term Disability	\$562	0.0	\$416	\$0	\$0	\$146	FTE Calculator
D	(1) Executive Director's Office (A) General Administration, S.B. 04-257 Amortization Equalization Disbursement	\$14,831	0.0	\$10,979	\$0	\$0	\$3,852	FTE Calculator
E	(1) Executive Director's Office (A) General Administration, S.B. 06-235 Supplemental Amortization Equalization Disbursement	\$14,831	0.0	\$10,979	\$0	\$0	\$3,852	FTE Calculator
F	(1) Executive Director's Office (A) General Administration, Operating Expenses	\$3,800	0.0	\$2,850	\$0	\$0	\$950	FTE Calculator
G	(1) Executive Director's Office (E) Utilization and Quality Review Contracts, Professional Services Contracts	(\$1,837,500)	0.0	(\$918,750)	\$0	\$0	(\$918,750)	Table 5.2 Row M
H	(2) Medical Services Premiums; Medical and Long-Term Care Services for Medicaid Eligible Individuals	(\$45,890,080)	0.0	(\$22,945,040)	\$0	\$0	(\$22,945,040)	Table 5.2 Row N
I	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management	(\$45,243,320)	0.0	(\$23,590,677)	(\$150,471)	\$0	(\$21,502,172)	Table 4.2 Row N + Table 5.2 Row O
J	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Eligibility Determination and Waitlist Management	(\$3,200,203)	0.0	(\$3,200,203)	\$0	\$0	\$0	Table 4.2 Row P + Table 5.2 Row P
K	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Case Management	\$93,694,148	0.0	\$47,782,979	\$150,471	\$0	\$45,760,698	Table 3.2 Row I + Table 5.2 Row Q
L	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	(\$86,971,925)	0.0	(\$46,082,518)	(\$2,676,689)	\$0	(\$38,212,718)	Table 4.2 Row M + Table 6.2 Row M
M	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Family Support Services	(\$7,817,740)	0.0	(\$7,202,785)	(\$614,955)	\$0	\$0	Table 4.2 Row O
N	NEW LINE (4) Office of Community Living (C) State Only Programs State Only Programs for People with Intellectual and Developmental Disabilities	\$20,430,614	0.0	\$17,867,092	\$2,563,522	\$0	\$0	Table 4.2 Row Q
O	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Comprehensive Services	(\$503,389,410)	0.0	(\$250,894,705)	(\$800,001)	\$0	(\$251,694,704)	Table 6.2 Row N
P	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Extensive Support Services	(\$27,080,575)	0.0	(\$13,540,287)	\$0	\$0	(\$13,540,288)	Table 6.2 Row O
Q	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Habilitation Residential Program	(\$5,155,578)	0.0	(\$2,577,789)	\$0	\$0	(\$2,577,789)	Table 6.2 Row P
R	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	\$612,461,569	0.0	\$304,907,947	\$1,528,123	\$0	\$306,025,499	Table 6.2 Row Q
S	Total Request	\$397,497	4.0	(\$100,135)	\$0	\$0	\$497,632	Sum of Row A through Row R

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 2.1 Summary by Initiative									
FY 2020-21 Case Management and State-Only Programs Modernization									
Row	Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP	Notes/Calculations
A	State General Fund Programs Quality Performance FTE	\$194,413	1.9	\$194,413	\$0	\$0	\$0	0.00%	Row B + Row C
B	State General Fund Programs Financial Compliance FTE	\$105,895	1.0	\$105,895	\$0	\$0	\$0	0.00%	FTE Calculator
C	State General Fund Programs Quality Performance FTE	\$88,518	1.0	\$88,518	\$0	\$0	\$0	0.00%	FTE Calculator
D	Case Management Redesign FTE	\$207,959	1.9	\$103,980	\$0	\$0	\$103,979	50.00%	Row E + Row F
E	Case Management Redesign Project Management FTE	\$119,441	1.0	\$59,721	\$0	\$0	\$59,720	50.00%	FTE Calculator
F	Case Management Redesign CM Specialist FTE	\$88,518	1.0	\$44,259	\$0	\$0	\$44,259	50.00%	FTE Calculator
G	Appropriation Adjustments	\$0	0.0	(\$367,759)	\$0	\$0	\$367,759	N/A	Row H + Row I
H	Long Bill Consolidation ⁽¹⁾	\$0	0.0	\$0	\$0	\$0	\$0	N/A	Table 4.1 Row R + Table 5.1 Row R + Table 6.1 Row R
I	Federal Match on IDD Determinations	\$0	0.0	(\$367,759)	\$0	\$0	\$367,759	50.00%	Table 3.1 Row I
J	Total Request	\$402,372	3.8	(\$69,366)	\$0	\$0	\$471,738	N/A	Row A + Row D + Row G

(1) See narrative for more detail

Table 2.2 Summary by Initiative									
FY 2021-22 Case Management and State-Only Programs Modernization									
Row	Item	Total Funds	FTE	General Fund	Cash Funds	Reappropriated Funds	Federal Funds	FFP	Notes/Calculations
A	State General Fund Programs Quality Performance FTE	\$191,705	2.0	\$191,705	\$0	\$0	\$0	0.00%	Row B + Row C
B	State General Fund Programs Financial Compliance FTE	\$104,889	1.0	\$104,889	\$0	\$0	\$0	0.00%	FTE Calculator
C	State General Fund Programs Quality Performance FTE	\$86,816	1.0	\$86,816	\$0	\$0	\$0	0.00%	FTE Calculator
D	Case Management Redesign FTE	\$205,792	2.0	\$102,896	\$0	\$0	\$102,896	50.00%	Row E + Row F
E	Case Management Redesign Project Management FTE	\$118,976	1.0	\$59,488	\$0	\$0	\$59,488	50.00%	FTE Calculator
F	Case Management Redesign CM Specialist FTE	\$86,816	1.0	\$43,408	\$0	\$0	\$43,408	50.00%	FTE Calculator
G	Appropriation Adjustments	\$0	0.0	(\$394,736)	\$0	\$0	\$394,736	N/A	Row H + Row I
H	Long Bill Consolidation ⁽¹⁾	\$0	0.0	\$0	\$0	\$0	\$0	50.00%	Table 4.2 Row R + Table 5.2 Row R + Table 6.2 Row R
I	Federal Match on IDD Determinations	\$0	0.0	(\$394,736)	\$0	\$0	\$394,736	50.00%	Table 3.2 Row I
J	Total Request	\$397,497	4.0	(\$100,135)	\$0	\$0	\$497,632	N/A	Row A + Row D + Row G

(1) See narrative for more detail

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 3.1 FY 2020-21						
Federal Match on Intellectual and Developmental Disabilities Determinations						
Current Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds	Federal Funds	FFP
A	IDD Determination	\$726,194	\$726,194	\$0	\$0	0%
B	Delay Determination	\$9,323	\$9,323	\$0	\$0	0%
C	Total	\$735,517	\$735,517	\$0	\$0	N/A
Requested Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds	Federal Funds	FFP
D	IDD Determination	\$726,194	\$363,097	\$0	\$363,097	50%
E	Delay Determination	\$9,323	\$4,661	\$0	\$4,662	50%
F	Total	\$735,517	\$367,758	\$0	\$367,759	N/A
Incremental Difference						
Row	Item	Total Funds	General Fund	Cash Funds	Federal Funds	FFP
G	IDD Determination	\$0	(\$363,097)	\$0	\$363,097	50%
H	Delay Determination	\$0	(\$4,662)	\$0	\$4,662	50%
I	Total	\$0	(\$367,759)	\$0	\$367,759	N/A

Table 3.2 FY 2021-22						
Federal Match on Intellectual and Developmental Disabilities Determinations						
Current Appropriation						
Row	Element	Total Funds	General Fund	Cash Funds	Federal Funds	FFP
A	IDD Determination	\$779,408	\$779,408	\$0	\$0	0%
B	Delay Determination	\$10,063	\$10,063	\$0	\$0	0%
C	Total	\$789,471	\$789,471	\$0	\$0	N/A
Requested Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds	Federal Funds	FFP
D	IDD Determination	\$779,408	\$389,704	\$0	\$389,704	50%
E	Delay Determination	\$10,063	\$5,032	\$0	\$5,032	50%
F	Total	\$789,471	\$394,736	\$0	\$394,736	N/A
Incremental Difference						
Row	Item	Total Funds	General Fund	Cash Funds	Federal Funds	FFP
G	IDD Determination	\$0	(\$389,704)	\$0	\$389,704	50%
H	Delay Determination	\$0	(\$5,032)	\$0	\$5,032	50%
I	Total	\$0	(\$394,736)	\$0	\$394,736	N/A

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 4.1 FY 2020-21 Movement of State-Only Appropriated Funds by Line Item						
Current Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
A	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	\$10,135,919	\$8,187,352	\$1,948,567	\$0	R-5 Table G.3 FY 2020-21 Office of Community Living Appropriation Build
B	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Case Management	\$2,156,935	\$2,156,935	\$0	\$0	R-5 Table G.3 FY 2020-21 Office of Community Living Appropriation Build
C	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Family Support Services	\$7,817,740	\$7,202,785	\$614,955	\$0	R-5 Table G.3 FY 2020-21 Office of Community Living Appropriation Build
D	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Waitlist and Eligibility Management	\$320,020	\$320,020	\$0	\$0	Table 6.1 Appropriation Build Eligibility Determination and Waitlist
E	NEW LINE (4) Office of Community Living (C) State Only Programs State Only Programs for People with Intellectual and Developmental Disabilities	\$0	\$0	\$0	\$0	Creating New Line
F	Total	\$20,430,614	\$17,867,092	\$2,563,522	\$0	Sum of Row A through Row E
Requested Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
G	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
H	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Case Management	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
I	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Family Support Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
J	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Waitlist and Eligibility Management	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
K	NEW LINE (4) Office of Community Living (C) State Only Programs State Only Programs for People with Intellectual and Developmental Disabilities	\$20,430,614	\$17,867,092	\$2,563,522	\$0	Row F
L	Total	\$20,430,614	\$17,867,092	\$2,563,522	\$0	Sum of Row G through Row K
Incremental Difference						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
M	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	(\$10,135,919)	(\$8,187,352)	(\$1,948,567)	\$0	Row G - Row A
N	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Case Management	(\$2,156,935)	(\$2,156,935)	\$0	\$0	Row H - Row B
O	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Family Support Services	(\$7,817,740)	(\$7,202,785)	(\$614,955)	\$0	Row I - Row C
P	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Waitlist and Eligibility Management	(\$320,020)	(\$320,020)	\$0	\$0	Row J - Row D
Q	NEW LINE (4) Office of Community Living (C) State Only Programs State Only Programs for People with Intellectual and Developmental Disabilities	\$20,430,614	\$17,867,092	\$2,563,522	\$0	SUM Row A through Row D
R	Difference	\$0	\$0	\$0	\$0	SUM of Row M through Row Q

(1) IDD and FSSP Loan Program source of Cash Fund

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 4.2 FY 2021-22 Movement of State-Only Appropriated Funds by Line Item						
Current Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
A	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	\$10,135,919	\$8,187,352	\$1,948,567	\$0	R-5 Table G.3 FY 2021-22 Office of Community Living Appropriation Build
B	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Case Management	\$2,156,935	\$2,156,935	\$0	\$0	R-5 Table G.3 FY 2021-22 Office of Community Living Appropriation Build
C	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Family Support Services	\$7,817,740	\$7,202,785	\$614,955	\$0	R-5 Table G.3 FY 2021-22 Office of Community Living Appropriation Build
D	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Waitlist and Eligibility Management	\$320,020	\$320,020	\$0	\$0	Table 6.1 Appropriation Build Eligibility Determination and Waitlist
E	NEW LINE (4) Office of Community Living (C) State Only Programs State Only Programs for People with Intellectual and Developmental Disabilities	\$0	\$0	\$0	\$0	Creating New Line
F	Total	\$20,430,614	\$17,867,092	\$2,563,522	\$0	Sum of Row A through Row E
Requested Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
G	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
H	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Case Management	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
I	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Family Support Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
J	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Waitlist and Eligibility Management	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
K	NEW LINE (4) Office of Community Living (C) State Only Programs State Only Programs for People with Intellectual and Developmental Disabilities	\$20,430,614	\$17,867,092	\$2,563,522	\$0	Row F
L	Total	\$20,430,614	\$17,867,092	\$2,563,522	\$0	Sum of Row G through Row K
Incremental Difference						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
M	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	(\$10,135,919)	(\$8,187,352)	(\$1,948,567)	\$0	Row G - Row A
N	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Case Management	(\$2,156,935)	(\$2,156,935)	\$0	\$0	Row H - Row B
O	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Family Support Services	(\$7,817,740)	(\$7,202,785)	(\$614,955)	\$0	Row I - Row C
P	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Waitlist and Eligibility Management	(\$320,020)	(\$320,020)	\$0	\$0	Row J - Row D
Q	NEW LINE (4) Office of Community Living (C) State Only Programs State Only Programs for People with Intellectual and Developmental Disabilities	\$20,430,614	\$17,867,092	\$2,563,522	\$0	SUM Row A through Row D
R	Difference	\$0	\$0	\$0	\$0	SUM of Row M through Row Q

(1) IDD and FSSP Loan Program source of Cash Fund

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 5.1 FY 2020-21 Movement of SEP and CCB Admin and Case Management Funds							
Current Appropriation							
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	FFP	Source
A	(1) Executive Director's Office (E) Utilization and Quality Review Contracts, Professional Services Contracts	\$1,837,500	\$918,750	\$0	\$918,750	50%	Assumed portion of Appropriation
B	(2) Medical Services Premiums; Medical and Long-Term Care Services for Medicaid Eligible Individuals	\$44,112,352	\$22,056,176	\$0	\$22,056,176	50%	S-1 FY 19-20 Exhibit I2 Without SB 16-192 Re-Assessments
C	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management	\$43,086,385	\$21,433,742	\$150,471	\$21,502,172	50%	R-5 FY 2020-21 Appropriation Build Without SB 16-192 Re-Assessments
D	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Eligibility Determination and Waitlist Management	\$2,880,183	\$2,880,183	\$0	\$0	0%	R-5 Table G.3 FY 2020-21 Office of Community Living Appropriation Build
E	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Case Management	\$0	\$0	\$0	\$0	50%	Creating New Line
F	Total	\$91,916,420	\$47,288,851	\$150,471	\$44,477,098	N/A	Sum of Row A through Row E
Requested Appropriation							
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	FFP	Source
G	(1) Executive Director's Office (E) Utilization and Quality Review Contracts, Professional Services Contracts	\$0	\$0	\$0	\$0	50%	Assume zero funding for Appropriation
H	(2) Medical Services Premiums; Medical and Long-Term Care Services for Medicaid Eligible Individuals	\$0	\$0	\$0	\$0	50%	Assume zero funding for Appropriation
I	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management	\$0	\$0	\$0	\$0	50%	Assume zero funding for Appropriation
J	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Eligibility Determination and Waitlist Management	\$0	\$0	\$0	\$0	0%	Assume zero funding for Appropriation
K	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Case Management	\$91,916,420	\$47,288,851	\$150,471	\$44,477,098	50%	Row F
L	Total	\$91,916,420	\$47,288,851	\$150,471	\$44,477,098	N/A	Sum of Row G through Row K
Incremental Difference							
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	FFP	Source
M	(1) Executive Director's Office (E) Utilization and Quality Review Contracts, Professional Services Contracts	(\$1,837,500)	(\$918,750)	\$0	(\$918,750)	50%	Row G - Row A
N	(2) Medical Services Premiums; Medical and Long-Term Care Services for Medicaid Eligible Individuals	(\$44,112,352)	(\$22,056,176)	\$0	(\$22,056,176)	50%	Row H - Row B
O	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management	(\$43,086,385)	(\$21,433,742)	(\$150,471)	(\$21,502,172)	50%	Row I - Row C
P	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Eligibility Determination and Waitlist Management	(\$2,880,183)	(\$2,880,183)	\$0	\$0	0%	Row J - Row D
Q	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Case Management	\$91,916,420	\$47,288,851	\$150,471	\$44,477,098	50%	SUM Row A through Row D
R	Difference	\$0	\$0	\$0	\$0	N/A	Sum of Row M through Row Q

(1) Healthcare Affordability and Sustainability Fee Cash Fund source of Cash Fund

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 5.2 FY 2021-22 Movement of SEP and CCB Admin and Case Management Funds							
Current Appropriation							
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	FFP	Source
A	(1) Executive Director's Office (E) Utilization and Quality Review Contracts, Professional Services Contract	\$1,837,500	\$918,750	\$0	\$918,750	50%	Same as previous FY
B	(2) Medical Services Premiums; Medical and Long-Term Care Services for Medicaid Eligible Individuals	\$45,890,080	\$22,945,040	\$0	\$22,945,040	50%	Using 4.03% Growth Rate
C	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management	\$43,086,385	\$21,433,742	\$150,471	\$21,502,172	50%	R-5 FY 2020-21 Appropriation Build Without SB 16-192 Re-Assessments
D	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Eligibility Determination and Waitlist Management	\$2,880,183	\$2,880,183	\$0	\$0	0%	Table 6.1 Appropriation Build Eligibility Determination and Waitlist
E	NEW LINE (4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management Funding	\$0	\$0	\$0	\$0	50%	Creating New Line
F	Total	\$93,694,148	\$48,177,715	\$150,471	\$45,365,962	N/A	Sum of Row A through Row E
Requested Appropriation							
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	FFP	Source
G	(1) Executive Director's Office (E) Utilization and Quality Review Contracts, Professional Services Contract	\$0	\$0	\$0	\$0	50%	Assume zero funding for Appropriation
H	(2) Medical Services Premiums; Medical and Long-Term Care Services for Medicaid Eligible Individuals	\$0	\$0	\$0	\$0	50%	Assume zero funding for Appropriation
I	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management	\$0	\$0	\$0	\$0	50%	Assume zero funding for Appropriation
J	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Eligibility Determination and Waitlist Management	\$0	\$0	\$0	\$0	0%	Assume zero funding for Appropriation
K	NEW LINE (4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management Funding	\$93,694,148	\$48,177,715	\$150,471	\$45,365,962	50%	Row F
L	Total	\$93,694,148	\$48,177,715	\$150,471	\$45,365,962	N/A	Sum of Row G through Row K
Incremental Difference							
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	FFP	Source
M	(1) Executive Director's Office (E) Utilization and Quality Review Contracts, Professional Services Contract	(\$1,837,500)	(\$918,750)	\$0	(\$918,750)	50%	Row G - Row A
N	(2) Medical Services Premiums; Medical and Long-Term Care Services for Medicaid Eligible Individuals	(\$45,890,080)	(\$22,945,040)	\$0	(\$22,945,040)	50%	Row H - Row B
O	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management	(\$43,086,385)	(\$21,433,742)	(\$150,471)	(\$21,502,172)	50%	Row I - Row C
P	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Eligibility Determination and Waitlist Management	(\$2,880,183)	(\$2,880,183)	\$0	\$0	0%	Row J - Row D
Q	NEW LINE (4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities (2) Program Costs, Case Management Funding	\$93,694,148	\$48,177,715	\$150,471	\$45,365,962	50%	SUM Row A through Row D
R	Difference	\$0	\$0	\$0	\$0	N/A	Sum of Row M through Row Q

(1) Healthcare Affordability and Sustainability Fee Cash Fund source of Cash Fund

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 6.1 FY 2020-21						
Movement of HCBS Programs for People with IDD by Line Item						
Current Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source/Calculation
A	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	\$76,836,006	\$37,895,166	\$728,122	\$38,212,718	R-5 Table G.3 FY 2020-21 Office of Community Living Appropriation Build
B	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Comprehensive Services	\$502,793,147	\$250,596,573	\$800,001	\$251,396,573	R-5 Table G.3 FY 2020-21 Office of Community Living Appropriation Build
C	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Extensive Support Services	\$27,080,575	\$13,540,287	\$0	\$13,540,288	R-5 Table G.3 FY 2020-21 Office of Community Living Appropriation Build
D	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Habilitation Residential Program	\$5,155,578	\$2,577,789	\$0	\$2,577,789	R-5 Table G.3 FY 2020-21 Office of Community Living Appropriation Build
E	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	\$0	\$0	\$0	\$0	Creating New Line
F	Total	\$611,865,306	\$304,609,815	\$1,528,123	\$305,727,368	Sum of Row A through Row E
Requested Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source/Calculation
G	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
H	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Comprehensive Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
I	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Extensive Support Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
J	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Habilitation Residential Program	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
K	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	\$611,865,306	\$304,609,815	\$1,528,123	\$305,727,368	Row F
L	Total	\$611,865,306	\$304,609,815	\$1,528,123	\$305,727,368	Sum of Row G through Row K
Incremental Difference						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source/Calculation
M	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	(\$76,836,006)	(\$37,895,166)	(\$728,122)	(\$38,212,718)	Row G - Row A
N	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Comprehensive Services	(\$502,793,147)	(\$250,596,573)	(\$800,001)	(\$251,396,573)	Row H - Row B
O	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Extensive Support Services	(\$27,080,575)	(\$13,540,287)	\$0	(\$13,540,288)	Row I - Row C
P	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Habilitation Residential Program	(\$5,155,578)	(\$2,577,789)	\$0	(\$2,577,789)	Row J - Row D
Q	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	\$611,865,306	\$304,609,815	\$1,528,123	\$305,727,368	SUM Row A through Row D
R	Difference	\$0	\$0	\$0	\$0	Sum of Row M through Row Q

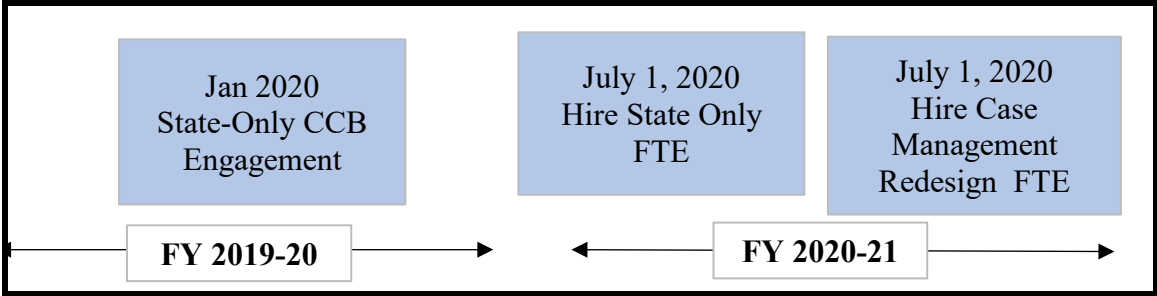
(1) Healthcare Affordability and Sustainability Fee Cash Fund and IDD Case Fund source of Cash Fund

Table 6.2 FY 2021-22 Movement of HCBS Programs for People with IDD by Line Item						
Current Appropriation						
Row	Element	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
A	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	\$76,836,006	\$37,895,166	\$728,122	\$38,212,718	R-5 Table G.3 FY 2021-22 Office of Community Living Appropriation Build
B	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Comprehensive Services	\$503,389,410	\$250,894,705	\$800,001	\$251,694,704	R-5 Table G.3 FY 2021-22 Office of Community Living Appropriation Build
C	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Extensive Support Services	\$27,080,575	\$13,540,287	\$0	\$13,540,288	R-5 Table G.3 FY 2021-22 Office of Community Living Appropriation Build
D	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Habilitation Residential Program	\$5,155,578	\$2,577,789	\$0	\$2,577,789	R-5 Table G.3 FY 2021-22 Office of Community Living Appropriation Build
E	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	\$0	\$0	\$0	\$0	Creating New Line
F	Total	\$612,461,569	\$304,907,947	\$1,528,123	\$306,025,499	Sum of Row A through Row E
Requested Appropriation						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
G	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
H	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Comprehensive Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
I	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Extensive Support Services	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
J	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Habilitation Residential Program	\$0	\$0	\$0	\$0	Assume zero funding for Appropriation
K	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	\$612,461,569	\$304,907,947	\$1,528,123	\$306,025,499	Row F
L	Total	\$612,461,569	\$304,907,947	\$1,528,123	\$306,025,499	Sum of Row G through Row K
Incremental Difference						
Row	Item	Total Funds	General Fund	Cash Funds ⁽¹⁾	Federal Funds	Source
M	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Supported Living Services	(\$76,836,006)	(\$37,895,166)	(\$728,122)	(\$38,212,718)	Row G - Row A
N	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Adult Comprehensive Services	(\$503,389,410)	(\$250,894,705)	(\$800,001)	(\$251,694,704)	Row H - Row B
O	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Extensive Support Services	(\$27,080,575)	(\$13,540,287)	\$0	(\$13,540,288)	Row I - Row C
P	(4) Office of Community Living (A) Division of Intellectual and Developmental Disabilities, Children's Habilitation Residential Program	(\$5,155,578)	(\$2,577,789)	\$0	(\$2,577,789)	Row J - Row D
Q	NEW LINE (4) Office of Community Living (B) Medicaid Programs for People with Intellectual and Developmental Disabilities Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	\$612,461,569	\$304,907,947	\$1,528,123	\$306,025,499	SUM Row A through Row D
R	Difference	\$0	\$0	\$0	\$0	Sum of Row M through Row Q

(1) Healthcare Affordability and Sustainability Fee Cash Fund and IDD Case Fund source of Cash Fund

7.1 Current Long Bill Structure	
(4) Office of Community Living	
(A) Division of Intellectual and Developmental Disabilities	
(1) Administrative Costs	
Personal Services	
Operation Expenses	
Community and Contract Management System	
Support Level Administration	
(2) Program Costs ⁽¹⁾	
Adult Comprehensive Services	
Adult Supported Living Services	
Children’s Extensive Support Services	
Children's Habilitation Residential Program	
Case Management	
Family Support Services	
Preventive Dental Hygiene	
Supported Employment Provider and Certification Reimbursement	
Supported Employment Pilot Program	
Eligibility Determination and Waiting List Management	
(1) Department of Health Care Policy and Financing, Office of Community Living, Division of Intellectual and Developmental Disabilities, Program Costs – It is the General Assembly’s intent that expenditures for these services be recorded only against the Long Bill group total for Program Costs.	
7.2 Proposed Long Bill Structure	
(4) Office of Community Living	
(A) Administrative Costs	
Personal Services	
Operational Expenses	
Community and Contract Management Services	
Support Level Administration	
(B) Medicaid Programs ⁽¹⁾	
Home and Community Based Services (HCBS) for People with Intellectual and Developmental Disabilities	
Case Management	
(C) State-Only Programs	
State Only Programs for People with Intellectual and Developmental Disabilities	
Preventive Dental Hygiene	
Supported Employment Provider and Certification Reimbursement	
Supported Employment Pilot Program	
(1) Department of Health Care Policy and Financing, Office of Community Living, Medicaid Programs – It is the General Assembly’s intent that expenditures for these services be recorded only against the Long Bill group total.	

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions



R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 9.1 Case Management Redesign FTE Calculations					
FTE Calculation Assumptions:					
Operating Expenses -- Base operating expenses are included per FTE for \$500 per year. In addition, for regular FTE, annual telephone costs assume base charges of \$450 per year.					
Standard Capital Purchases -- Each additional employee necessitates the purchase of a Personal Computer (\$900), Office Suite Software (\$330), and office furniture (\$3,473).					
General Fund FTE -- Beginning July 1, 2019, new employees will be paid on a bi-weekly pay schedule; therefore new full-time General Fund positions are reflected in Year 1 as 0.9615 FTE to account for the pay-date shift (25/26 weeks of pay). This applies to personal services costs only; operating costs are not subject to the pay-date shift.					
Expenditure Detail		FY 2020-21		FY 2021-22	
Personal Services:					
Classification Title	Monthly	FTE		FTE	\$90,216
PROJECT MANAGER II	\$7,518	1.0	\$86,743	1.0	
PERA			\$9,021		\$9,382
AED			\$4,337		\$4,511
SAED			\$4,337		\$4,511
Medicare			\$1,258		\$1,308
STD			\$165		\$171
Health-Life-Dental			\$7,927		\$7,927
Subtotal Position 1, ## FTE		1.0	\$113,788	1.0	\$118,026
Classification Title	Monthly	FTE		FTE	
ADMINISTRATOR IV	\$5,322	1.0	\$61,405	1.0	\$63,864
PERA			\$6,386		\$6,642
AED			\$3,070		\$3,193
SAED			\$3,070		\$3,193
Medicare			\$890		\$926
STD			\$117		\$121
Health-Life-Dental			\$7,927		\$7,927
Subtotal Position 2, ## FTE		1.0	\$82,865	1.0	\$85,866
Subtotal Personal Services		1.9	\$196,653	2.0	\$203,892
Operating Expenses:					
		FTE		FTE	
Regular FTE Operating Expenses	\$500	2.0	\$1,000	2.0	\$1,000
Telephone Expenses	\$450	2.0	\$900	2.0	\$900
PC, One-Time	\$1,230	2.0	\$2,460	-	
Office Furniture, One-Time	\$3,473	2.0	\$6,946	-	
Other					
Other					
Other					
Other					
Subtotal Operating Expenses			\$11,306		\$1,900
TOTAL REQUEST		1.9	\$207,959	2.0	\$205,792
	<i>General Fund:</i>		<i>\$103,980</i>		<i>\$102,896</i>
	<i>Cash funds:</i>		<i>\$0</i>		<i>\$0</i>
	<i>Reappropriated Funds:</i>		<i>\$0</i>		<i>\$0</i>
	<i>Federal Funds:</i>		<i>\$103,979</i>		<i>\$102,896</i>

R-16 Case Management and State-Only Program Modernization
Appendix B: Calculations and Assumptions

Table 9.2 State General Fund Programs Quality Performance FTE Calculations					
FTE Calculation Assumptions:					
Operating Expenses -- Base operating expenses are included per FTE for \$500 per year. In addition, for regular FTE, annual telephone costs assume base charges of \$450 per year.					
Standard Capital Purchases -- Each additional employee necessitates the purchase of a Personal Computer (\$900), Office Suite Software (\$330), and office furniture (\$3,473).					
General Fund FTE -- Beginning July 1, 2019, new employees will be paid on a bi-weekly pay schedule; therefore new full-time General Fund positions are reflected in Year 1 as 0.9615 FTE to account for the pay-date shift (25/26 weeks of pay). This applies to personal services costs only; operating costs are not subject to the pay-date shift.					
Expenditure Detail		FY 2020-21		FY 2021-22	
Personal Services:					
Classification Title	Monthly	FTE		FTE	
COMPLIANCE SPECIALIST IV	\$6,556	1.0	\$75,643	1.0	\$78,672
PERA			\$7,867		\$8,182
AED			\$3,782		\$3,934
SAED			\$3,782		\$3,934
Medicare			\$1,097		\$1,141
STD			\$144		\$149
Health-Life-Dental			\$7,927		\$7,927
Subtotal Position 1, ## FTE		1.0	\$100,242	1.0	\$103,939
Classification Title	Monthly	FTE		FTE	
ADMINISTRATOR IV	\$5,322	1.0	\$61,405	1.0	\$63,864
PERA			\$6,386		\$6,642
AED			\$3,070		\$3,193
SAED			\$3,070		\$3,193
Medicare			\$890		\$926
STD			\$117		\$121
Health-Life-Dental			\$7,927		\$7,927
Subtotal Position 2, ## FTE		1.0	\$82,865	1.0	\$85,866
Subtotal Personal Services		1.9	\$183,107	2.0	\$189,805
Operating Expenses:					
		FTE		FTE	
Regular FTE Operating Expenses	\$500	2.0	\$1,000	2.0	\$1,000
Telephone Expenses	\$450	2.0	\$900	2.0	\$900
PC, One-Time	\$1,230	2.0	\$2,460	-	
Office Furniture, One-Time	\$3,473	2.0	\$6,946	-	
Other					
Other					
Other					
Other					
Subtotal Operating Expenses			\$11,306		\$1,900
TOTAL REQUEST		1.9	\$194,413	2.0	\$191,705
	<i>General Fund:</i>		<i>\$194,413</i>		<i>\$191,705</i>
	<i>Cash funds:</i>		<i>\$0</i>		<i>\$0</i>
	<i>Reappropriated Funds:</i>		<i>\$0</i>		<i>\$0</i>
	<i>Federal Funds:</i>		<i>\$0</i>		<i>\$0</i>