Home and Community Based Children’s Habilitation Residential Program (HCBS-CHRP) Waiver

Training for Case Managers

Presented by Michele Craig and Nancy Harris

April 2020
Our Mission

Improving health care access and outcomes for the people we serve while demonstrating sound stewardship of financial resources
Agenda

• Background
• Eligibility and Enrollment
• Case Management
• Services
• Regulations
• Provider Capacity
• Progress and Improvements
• Frequently Asked Questions
Effective July 1, 2019

- Eligibility expanded to include children with I/DD with complex support needs not in child welfare
- Waiver case management transferred to Case Management Agencies- Community Centered Boards (CCB)
- Two new services added- Intensive Support and Transition Support
- Waiver administered by Health Care Policy and Financing (HCPF)
Eligibility and Enrollment
Eligibility

Children and youth ages birth (0) through twenty (20):

• Determined to meet developmental delay/disability criteria

• Meets Intermediate Care Facility for Individuals with Intellectual Disabilities (ICF/IID)(as defined in 42 CFR §440.150)

• Has extraordinary needs that put them at risk of, or in need of, out of home placement
Extraordinary needs:

- **Complex Behavior Supports:** Needs that occur related to a diagnosis by a licensed physician, psychiatrist, or psychologist that includes one or more substantial disorders of the cognitive, volitional or emotional process that grossly impairs judgment or capacity to recognize reality or to control behavior.

- **Complex Medical Supports:** Needs that occur as a result of a chronic medical condition as diagnosed by a licensed physician that has lasted or is expected to last twelve (12) months, requires skilled care, and that without intervention may result in a severely life altering condition.
# Eligibility

## Location of Assessment
- Applicants Private Residence/Home
- Nursing Home
- Hospital/Other Health Care Facility
- Assisted Living
- Agency Office
- Relatives Home
- Telephone
- Other

## Present at Interview
- Applicant Only
- Caregiver(s) Only
- Applicant and Caregiver(s)
- Applicant and Others
- Other

## Most of the interview information was provided by
- Applicant
- Caregiver
- Medical Record
- Facility Staff
- All of the Above
- Other

## Living Environment
- Safe
- Safe with feasible modifications
- Services can not be delivered here
- Client needs to move so services can be delivered
- Client needs to move to a safer environment
- Special home assessment needed
- Unknown

## Eligibility Assessment Summary

Document Eligibility here
• Parent’s income not considered for Medicaid financial eligibility

• Social Security Income (SSI) not required

• Need SSI disability determination or Arbor application

• If child/youth is receiving Habilitation services, they will need an income source for room and board and likely need to apply for SSI financial eligibility
• Child/youth in Child Welfare:
  • Medicaid eligibility is presumed
  • County Child Welfare Case Worker enters financial eligibility into TRAILS (not CBMS)
  • CCB/CMA will need to obtain a screen shot in TRAILS of the Medicaid eligibility from the Case Worker
Enrollment

• Developmental Disability (or Delay for under 5) Determination

• Level of Care assessment using the ULTC 100.2

• Confirm financial eligibility through county or refer family to county to apply for Medicaid*

• Social Security Income (SSI) or Arbor application

• Does the child meet the targeting criteria for the waiver?

*If child/youth is in child welfare, confirm Medicaid eligibility with the County Department of Human Services Child Welfare Case Worker.
ICAP Assessment

- Tool used to determine reimbursement level for Habilitation service.
- Training on the Inventory for Client and Agency Planning (ICAP) assessment available at: https://cohCPF.adobeconnect.com/p17dd7czs4wi/
- Reimbursement through administrative payment through the CCB contract
- Respondents can be those that have known the individual for at least 3 months and sees them on a daily basis
- ICAP should be reviewed annually
The Support Need Level will be documented in a case manager log note within the Benefits Utilization System.

Initial ICAP assessment: “Enrollment Activity”

Re-assessment with ICAP: “Assessment”
Case Management
Targeted Case Management

- State Plan
- 15-minute unit increments
- 240-unit limit per fiscal year

Components:
- Assessment
- Referral
- Service Planning
- Monitoring - Quarterly face to face
CCB/CMAs must report incidents that meet the Critical Incident Reporting (CIR) requirements to HCPF in the BUS

• Requirements are the same as they are for the DD, SLS, and CES waivers

• Please refer to this link for additional information and training on CIR and mandatory reporting requirements
Conflict Free Case Management

The same agency may not provide case management and waiver services to the same child/youth.
- Children in Child Welfare may be more likely to transition between CCB/CMAs

- A CCB/CMA cannot say “no” to a request to change from the CCB/CMA for the county of origin to the CCB/CMA for the county of residence for the child/youth

- Change in CCB/CMA should occur when it is requested by the decision making party and when it is in best interest of the child/youth
Transition from CHRP to Adult Services

- Youth may choose to transition out of CHRP from the age eighteen (18) through twenty (20)
- Youth must transition out of CHRP by age twenty-one (21)
- Youth may choose adult services that they are eligible for and that best meet their need
- The Developmental Disabilities (DD) waiver has reserved capacity for CHRP participants
- Transitions should occur when it is in the best interest of the youth
• Partner with County Case Worker - include in all team meetings

• Involvement of Child Welfare does not mitigate or change the requirements of the CMA case manager

• Consult County Case Worker to identify the decision maker for child/youth

• Any suspected or alleged abuse must be reported to Child Protective Services
When a child is in Child Welfare, the decision-making authority on questions such as selection of provider, place to live, services, etc. will be individualized based on each child/youth’s unique situation.

Consult with the County Child Welfare Case Worker to determine individual decision-making authority.
Services
Services

- Habilitation
- Respite
- Supported Community Connections
- Massage Therapy
- Hippotherapy
- Movement Therapy
- Intensive Support
- Transition Support
Habilitation

- Twenty-four (24) hour residential
- Independent living training
- Self-advocacy training
- Cognitive services
- Emergency assistance
- Community access supports
- Follow-up supports for counseling, behavior, or other therapeutic interventions
- Medical and health care supports
Habilitation - Provider Types

- Child Placement Agency (CPA)
  - Certified Foster Care Home (*service agency must provide the case manager a copy of the certification*)
  - Group Home
  - Kinship Home
- Residential Child Care Facility (RCCF)
- Medicaid Enrolled Provider - must be in a Foster Care Home certified by a County Department of Human Services and approved to provide Residential Habilitation for the HCBS-Developmental Disabilities waiver

Please see the full text of the waiver for provider qualifications: [www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp](http://www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp)
# Capacity Limits - Foster Care Home

## Child Welfare - not CHRP

<table>
<thead>
<tr>
<th>CHRP Participant</th>
<th>Child Welfare - not CHRP</th>
<th>Total Children</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>2</td>
<td>3*</td>
</tr>
<tr>
<td>2</td>
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<tr>
<td>3</td>
<td>0</td>
<td>3*</td>
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</tbody>
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*Requires Approval by CDHS/HCPF

## Adult DD/SLS waiver participant

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<thead>
<tr>
<th>CHRP Participant</th>
<th>Adult DD/SLS waiver participant</th>
<th>Total Children and Adults</th>
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<tbody>
<tr>
<td>1</td>
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<td>3</td>
</tr>
<tr>
<td>2</td>
<td>1</td>
<td>3</td>
</tr>
</tbody>
</table>
## Capacity Limits - Group Home

<table>
<thead>
<tr>
<th>CHRP Participant</th>
<th>Child Welfare Participant, Non-CHRP</th>
<th>Total Children</th>
</tr>
</thead>
<tbody>
<tr>
<td>1</td>
<td>8</td>
<td>9</td>
</tr>
<tr>
<td>2</td>
<td>5</td>
<td>7</td>
</tr>
</tbody>
</table>
Respite

• For the short-term relief of the primary caregiver

• May be provided in a family home, the community, a Foster Care Home, a group home, and/or a RCCF

• Service Limit: Not more than seven (7) consecutive days per month, not to exceed twenty-eight (28) days per calendar year*

• Respite may not be billed on the same day as Habilitation services

*Hard unit limit will be set in the Bridge
Respite - Provider Types

- Child Placement Agency
- Foster Care Home
- Medicaid Enrolled Provider-approved to provide Respite in the HCBS-Children’s Extensive Support (CES) waiver

Please see the full text of the waiver for provider qualifications: [www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp](http://www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp)
Supported Community Connections

• One-on-one supports to deliver instruction for documented severe behavior problems exhibited by the child or youth in the community

• Service must be provided in a setting in the community where the child or youth interacts with individuals without disabilities

• Service is limited to five (5) hours per week
Supported Community Connections - Provider Types

- Child Placement Agency
- Medicaid Enrolled Provider-approved to provide Community Connector in the CES waiver

Please see the full text of the waiver for provider qualifications:
www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp
Massage Therapy

• The physical manipulation of muscles to ease muscle contractures, spasms, extension, muscle relaxation, and muscle tension including WATSU

• Must be a treatment strategy for an identified medical or behavior need and recommended or prescribed by a therapist or physician

• Recommending therapist or physician must monitor the progress and effectiveness at least quarterly

Please see the full text of the waiver for provider qualifications:
www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp
Hippotherapy

Therapeutic treatment strategy that uses the movement of a horse to assist in the development/enhancement of skills including gross motor, sensory integration, attention, cognitive, social, behavioral, and communication skills.

- Must be a treatment strategy for an identified medical or behavior need and recommended or prescribed by a therapist or physician.
- Recommending therapist or physician must monitor the progress and effectiveness at least quarterly.

Please see the full text of the waiver for provider qualifications: [www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp](http://www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp)
The use of music and/or dance as a therapeutic tool for the habilitation, rehabilitation, and maintenance of behavioral, developmental, physical, social, communication, pain management, cognition, and gross motor skills

Must be a treatment strategy for an identified medical or behavior need and recommended or prescribed by a therapist or physician

Recommending therapist or physician must monitor the progress and effectiveness at least quarterly

Please see the full text of the waiver for provider qualifications:
www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp
Intensive Support

- Aligns strategies, interventions, and supports for the child or youth, and their family to prevent the need for out of home placement
- Utilized to maintain stabilization, prevent Crisis situations, and/or de-escalation of Crisis situations

Three Service Components:
- Wraparound Facilitator Plan
- Wraparound Facilitator Monitoring
- In-Home Services
“Crisis” defined as an event, series of events, and/or state of being greater than normal severity for the client and/or family that becomes outside the manageable range for the child or youth and/or their caregivers and poses a danger to self, family, and/or the community.

Crisis may be self-identified, family identified, and/or identified by an outside party.
Wraparound is an individualized approach to help children with complex needs. Service providers, support team members, the child and their family and/or caregiver(s) all work together to help achieve the family’s goals. The team respects the goals and strengths of the family to help build confidence and successes for the child and the family at home, in school and in the community.
“Wraparound Plan”: A single plan that incorporates all relevant supports, services, strategies, and goals from other service/treatment plans in place and supports a child or youth and his or her family, including a plan to maintain stabilization, prevent Crisis, and or for de-escalation of Crisis Situations.
In-Home Support Component

- Includes implementation of therapeutic and/or behavioral support plans, building life skills, providing guidance to the child or youth with self-care, learning self-advocacy, and protective oversight.

- May be provided in any Habilitation setting.

Changing to “Child and Youth Mentorship” July 1, 2020
Transition Support

• Aligns strategies, interventions, and supports for the child or youth, and their family when a child or youth transitions to the family home from out of home placement

Three Service Components:
• Wraparound Facilitator Plan
• Wraparound Facilitator Monitoring
• In-Home Services
Intensive Support and Transition Support Provider Types

New

• Child Placement Agency
• Medicaid Enrolled Provider
• Residential Child Care Facility
• Specific provider qualifications for the Wraparound Facilitator certification and training requirements for Direct Support Professionals providing In-Home Support

Please see the full text of the waiver for provider qualifications:
www.colorado.gov/hcpf/childrens-habilitation-residential-program-waiver-chrp
Regulations
Regulatory Requirements

Federal HCBS Settings Final Rule applies to HCBS-CHRP as it does for other waivers

- 10 CCR 2505-10 8.508 (HCPF)
- 12 CCR 2509-8 (CDHS)

Rules may be accessed at:

www.sos.state.co.us/CCR/NumericalDeptList.do

Case manager obtains informed consent for rights modifications

Human Rights Committee review only required for Mistreatment investigations
• Enrollments have increased over 50%.

• Majority of new enrollments not involved with Child Welfare

• Providers have increased over 50% - CHRP Provider List

• Successes - individual situations of youth returning to Colorado from out of home placement, closure of Child Welfare cases, and use of new services supporting youth to remain in the family home
Improvements to CHRP Services

- Budget request to increase Residential Child Care Facility (RCCF) rates
- Bill to align rule promulgation with waiver amendments
- Waiver amendment January 1, 2020 to allow family members to be paid for Residential and Respite services*
- Continued monthly CHRP technical assistance calls

*Requires rule change, anticipated effective date May 31, 2020
Proposed waiver amendment July 1, 2020*

- Habilitation: add Host Home as a provider type for participants age 18-20 not in Child Welfare

- Supported Community Connection (SCC): change weekly unit limits to annual total limit; allow service to provided by a family member; change name to Community Connector

- In-Home Support: Change name to Child and Youth Peer Mentorship

*Requires rule change, anticipated fall 2020
Contractor to begin targeted recruitment statewide for Massage, Movement, and Hippo Therapists

2nd and 3rd rounds of provider recruitment/community meetings statewide late spring/early summer 2020

2nd Provider training late spring/early summer 2020

On-going recruitment messaging through provider meetings, provider communications
Evaluation & On-Going Efforts

- Evaluation of residential provider types to increase provider capacity
- Utilization and cost benefit analysis
- Stakeholder outreach
- Participation in other systemic changes such as implementation of the Families First Prevention Services Act (FFPSA) to ensure CHRP alignment
- Continued partnerships with the Colorado Department of Human Services and County Departments of Human/Social Services
Frequently Asked Questions

(please see supplemental handout)
Questions?
Contacts

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Thank You!